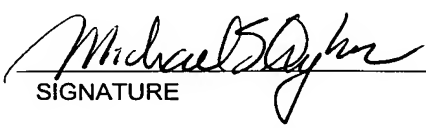


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 133697-0006 U.S. APPLICATION NO. (If known, specify CFR 1.5) 10/549987
INTERNATIONAL APPLICATION NO. PCT/CN2004/000234	INTERNATIONAL FILING DATE 22 MARCH 2004	PRIORITY DATE 20 MARCH 2003
TITLE OF INVENTION COENZYME Q10 CONTAINING PROLIPOSOME AND PREPARATION THEREOF		
APPLICANT(S) FOR DO/EO/US Jianming CHEN, Shen GAO, Huiliang LI, Huifen LIN, Shaomin WEI, Yangmei ZHANG, Luo LU, Yanqiang ZHONG, Qing SHI, Yiguang GUO, Fei GUAN, Wei WANG, Laiji MA, Juan GU		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(3)) a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Substitute Specifications (Clean Copy and Marked Up Copy) Fee Transmittal		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) 10/549987		INTERNATIONAL APPLICATION NO. PCT/CN2004/000234		ATTORNEY'S DOCKET NUMBER 133697-0006	
The following fees are submitted:				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee \$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). \$100				\$ 200.00	
All other situations. \$200					
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared and provided to the Office \$400				\$ 400.00	
All other situations. \$500					
TOTAL OF 21, 22 and 23 =				\$ 900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)	RATE		
- 100 =	0 /50 =	0	x \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	18 - 20 =	0	x \$50.00	\$ 0.00	
Independent claims	1 - 3 =	0	x \$200.00	\$ 0.00	
Multiple Dependent Claims (if applicable) <input type="checkbox"/>			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 900.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0.00	
SUBTOTAL =				\$ 900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per				+ \$ 40.00	
TOTAL FEES ENCLOSED =				\$ 940.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
<p>a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account <u>12-2136</u> in the amount of <u>\$940.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account <u>12-2136</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Michael S. Gzybowski BUTZEL LONG 350 South Main Street Suite 300 Ann Arbor, MI 48104</p>					
				 SIGNATURE	
				Michael S. Gzybowski NAME	
				<u>32,816</u> REGISTRATION NUMBER	

Doc Code:

PTO/SB/17 (12-04/02)
Approved for use through 07/31/2006. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<p><i>Effective on 12/08/2004.</i> Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</p> <h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2005</h3>		<p>Complete if Known</p>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	Unknown 10/549987
		Filing Date	09/19/2005
		First Named Inventor	Jianming CHEN, et al
		Examiner Name	Unknown
		Art Unit	Unknown
<p>TOTAL AMOUNT OF PAYMENT (\$) \$940.00</p>		Attorney Docket No.	133697-0006

METHOD OF PAYMENT (check all that apply)

☐ Check
 ☐ Credit Card
 ☐ Money Order
 ☐ None
 ☐ Other (please identify): _____

☒ Deposit
 Deposit Account Number: 12-2136
 Deposit Account Name: BUTZEL LONG

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below
 ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or any underpayment of fee(s) under 37 CFR 1.16 and 1.17
 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**
18 - 20 or HP = 0 x \$5.00 = \$0.00

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims **Extra Claims** **Fee (\$)** **Fee Paid (\$)**
1 - 3 or HP = 0 x \$200.00 = \$0.00

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listing under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets **Extra Sheets** **Number of each additional 50 or fraction thereof** **Fee (\$)** **Fee Paid (\$)**
 - 100 = 0 / 50 0 (round up to a whole) x \$250.00 = \$0.00

4. OTHER FEE(S)

Non-English specification, \$130 fee (no small entity discount)
 Other (e.g., late filing surcharge): **BASIC NATIONAL PHASE FILING FEE and RECORDATION FEE** **\$940.00**

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	32,816	Telephone	734.995.3110
Name (Print/Type)	Michael S. Gzybowski			Date	09/19/2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

10/549987

ATTORNEY'S DOCKET NO.: 133697-0006

1017 Rec'd PCT/PTO 19 SEP 2005

"EXPRESS MAIL" COVER LETTER
U.S. PATENT APPLICATION

Date: September 19, 2005

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: APPLICANTS: Jianming CHEN, Shen GAO, Huiliang LI, Huifen LIN, Shaomin WEI, Yangmei ZHANG
Luo LU, Yanqiang ZHONG, Qing SHI, Yiguang GUO, Fei GUAN, Wei WANG,
Laiji MA, Juan GU

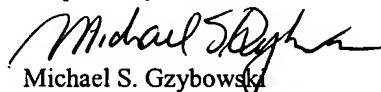
TITLE OF INVENTION: COENZYME Q₁₀ CONTAINING PROLIPOSOME AND PREPARATION THEREOF

Sir:

Forwarded herewith is the above-identified application, consisting of the following:

Express Mail Cover Letter
Certificate of Mailing by "Express Mail"
Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 (PCT-1390/PCT/US1) (2 pgs) - **In Duplicate**
PCT Publication of International Application No. PCT/CN2004/000234 (Publication No. PCTWO2004/082668 A1) (14 pgs)
Translation of International Application No. PCT/CN2004/000234 (Publication No. PCTWO2004/082668 A1) into English Including Abstract,
Specification, and Claims (12 pgs)
Substitute Specification - **Clean Copy** (9 pgs)
Substitute Specification - **Marked-Up Copy** (11 pgs)
Preliminary Amendment (7 pgs)
PCT/USA National Declaration and Power of Attorney (5 pgs)
Written Opinion of the International Searching Authority in Chinese (PCE/ISA/237) (3 pgs)
International Preliminary Report on Patentability, together with the Notification of Transmission
(form PCT/IPEA/416 and form PCT/IPEA/409) in Chinese (4 pgs)
Information Concerning Elected Offices Notified of Their Election (Form PCT/IB/332) (1 pg)
Recordation Form Cover Sheet and Addendum (2 pgs)
Assignment Document (2 pgs)
Information Disclosure Statement (2 pgs)
Information Disclosure Statement (PTO-1449) with Cited References
Fee Transmittal (1 pg)
Return Post Card

Respectfully submitted,

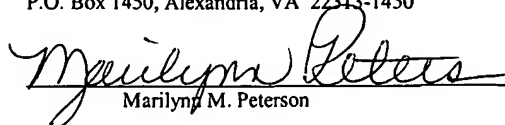


Michael S. Gzybowski
Reg. No. 32,816
Attorney for Applicant

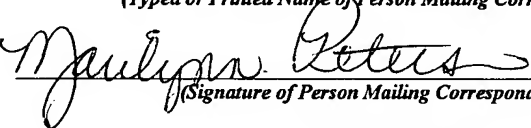
BUTZEL LONG
350 South Main Street
Suite 300
Ann Arbor, MI 48104
734-995-3110 (ph)

"EXPRESS MAIL" Mailing No. EV 468352253 US
Date of Deposit: September 19, 2005

I hereby certify that this paper is being deposited with
The United States Postal Service "EXPRESS MAIL
POST OFFICE TO ADDRESSEE" service under
37 CFR 1.10 on the date indicated above and is addressed
to the Mail Stop PCT, Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450



Marilyn M. Peterson

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			10/549987 Docket No. 133697-0006 Rec'd PCT/PTO 19 SEP 2005	
Applicant(s): Jianming CHEN, et al				
Application No. Unknown	Filing Date 09/19/2005	Examiner Unknown	Customer No. 35684	Group Art Unit Unknown
Invention: COENZYME Q10 CONTAINING PROLIPOSOME AND PREPARATION THEREOF				
<p>I hereby certify that the following correspondence: Express Mail Cover Letter, Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C. 371 (PCT-1390/PCT/US1) (2 pgs) - In Duplicate, PCT Publication of International Application No. PCT/CN2004/000234 (Publication No. PCTWO2004/082668 A1) (14 pgs), Translation of International Application No. PCT/CN2004/000234 (Publication No. PCTWO2004/082668 A1) into English Including Abstract, Specification, and Claims (12 pgs), Substitute Specification - Clean Copy (9 pgs), Substitute Specification - Marked-Up Copy (11 pgs), Preliminary Amendment (7 pgs), PCT/USA National Declaration and Power of Attorney (5 pgs), Written Opinion of the International Searching Authority in Chinese (PCE/ISA/237) (3 pgs), International Preliminary Report on Patentability, together with the Notification of Transmission (form PCT/IPEA/416 and form PCT/IPEA/409) in Chinese (4 pgs), Information Concerning Elected Offices Notified of Their Election (Form PCT/IB/332) (1 pg), Recordation Form Cover Sheet and Addendum (2 pgs), Assignment Document (2 pgs), Information Disclosure Statement (2 pgs), Information Disclosure Statement (PTO-1449) with Cited References, Fee Transmittal (1 pg) and Return Post Card</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under Mail Stop PCT 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>09/19/2005</u> (Date)</p> <p><u>Marilynn M. Peterson</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p> (Signature of Person Mailing Correspondence)</p> <p><u>EV 468352253 US</u> (Express Mail Mailing Label Number)</p>				
<p>Note: Each paper must have its own certificate of mailing.</p>				